

## POTENTIAL TIMELINE FOR OTC CODE OF CONDUCT DATA REQUEST AND RISK MANAGEMENT REVIEW DATA REQUEST

Date	Event/action	To whom	Person responsible
17 November	OTC Code of Conduct data request (subject to approval from Chair)	Independent retailers that have agreed to be disclosing parties for the OTC Code of Conduct monitoring Section 9(2)(b)(ii), Electric Kiwi, Section 9(2)(b)(ii) and Octopus)	Monitoring/legal
21 November	Board meeting – Presentation on Risk Management Review project		Andrew Millar/James Goodchild
27 November	Minister of Energy and Resources; MBIE; ComCom – heads-up about news item giving participants notice of data request	Minister of Energy and Resources; MBIE; ComCom	Comms/market policy
28 November	News item/Market Brief/Email to requestees giving heads-up about upcoming Risk Management Review data request	Electricity retailers ERANZ, MEUG? CAC, Consumer NZ	Comms/market policy team
29 November	Follow-up calls/meeting with OTC independent retailers about why 2 S46 requests – explaining same data can be re-used, so they do not need to re-provide it.	Disclosing parties (Flick (tbc), Electric Kiwi, 2 degrees, Prime, and Octopus)	Murray to facilitate, Doug to lead

4 December	Voluntary request for the risk management review sent	Independent retailers who are not disclosing parties in the working group, or who are not in the working group Section 9(2)(b)(ii)	Monitoring/legal
8 December	Deadline for OTC Code of Conduct data request	Independent retailers that have agreed to be disclosing parties for the OTC Code of Conduct monitoring Section 9(2)(b)(ii). Electric Kiwi, Section 9(2)(b)(ii) and Octopus)	Monitoring
11 December	Risk Management Review section 46 data request letters sent	Independent retailers that are disclosing parties for the OTC Code of Conduct, and possibly other independent retailers (if they declined to provide the information voluntarily)	Monitoring/legal
15 January	Deadline for Risk Management Review data request	Independent retailers	Monitoring