

Compliance plan for Eastland MEP– 2020

Changes to registry records		
Non-compliance	Description	
Audit Ref: 4.10 With: Clause 3 of Schedule 11.4 From: 06-Jun-19 To: 04-Jul-19	Recertification of ICP 0000089000WW196 updated on the registry later than 10 business days. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	I have recorded the controls as moderate. Eastland updated the information as soon as it was provided to them. The impact is minor; therefore, the audit risk rating is low.	
Actions taken to resolve the issue		Completion date
Discussed with Engineer and reviewed how and when paperwork is received and to try and ensure detail is provided.		08/2020
Preventative actions taken to ensure no further issues will occur		Completion date
Reminders on following up with certification provider around due dates		08/2020
		Identified

Accuracy of Registry records		
Non-compliance	Description	
<p>Audit Ref: 6.2</p> <p>With: Clause 7 (1), (2) and (3) of Schedule 11.4</p> <p>From: 06-Jun-19</p> <p>To: 25-May-20</p>	<p>Five ICPs with the incorrect meter certification number recorded on the registry.</p> <p>ICP 0000089000WW196 has the incorrect meter serial number recorded.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>I have recorded the controls as weak as errors were found for six out of seven ICPs.</p> <p>The impact on participants is minor, therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
Discussion around paperwork and the required entry documents to be used. Checked with service provider and feed back sort for confirmation and from Auditor		06/2020
Preventative actions taken to ensure no further issues will occur		Completion date
Reporting checks to be made on a monthly basis		06/2020
		Cleared