

Compliance Plan CCC Mainpower DUML 2021

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 04-May-21</p> <p>To: 09-Feb-22</p>	<p>Variance in light volumes reported to Simply Energy vs what is recorded in the database is likely to be resulting in an estimated 2,359 kWh per annum of under submission.</p> <p>The database accuracy is assessed to be 22% less than is recorded in the database. Resulting in an estimated over submission of 5,950 kWh per annum (based on 4,271 annual burn hours).</p> <p>The monthly database used for submission is no longer being maintained so the monthly volumes being calculated do not take into account any changes.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: None</p> <p>Breach risk rating: 5</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as none as this database is no longer being maintained.</p> <p>The impact is assessed to be low, based on the potential kWh variances detailed above but this will increase until an alternative database is found to manage this load.</p>	
Actions taken to resolve the issue		Completion date
<p>Upon receipt, a reconciliation between the datasets will be completed and the revision submissions will be updated to reflect the more accurate capacities.</p> <p>As an interim step before transitioning to CMS, the Council will start providing an up-to-date extract from their RAMM database that underpins the CMS, which will be used for the basis for submission until the transition to CMS is complete.</p>		30/4/2022
Preventative actions taken to ensure no further issues will occur		Completion date
<p>The lights in the Mainpower Network are connected to CCC's CMS system and will therefore start to be reconciled via the new profile and process once that has been set up.</p>		31/7/2022
Identified		

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 04-May-21 To: 09-Feb-22	Eight additional lights found in the field from the 128 items of load sampled. Potential impact: Medium Actual impact: Low Audit history: Multiple times Controls: None Breach risk rating: 5	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as none as the database is not being maintained. The impact is assessed to be medium due to the number of additional lights found in the field for the size of the database.	
Actions taken to resolve the issue	Completion date	Remedial action status
Our expectation is these lights will exist on the RAMM extracts that CCC will start providing. If they do not a field audit will be completed by CCC to determine if the lights are Council owned and where required, the RAMM database will be updated to include any missing lights for historic and future submissions.	31/5/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 04-May-21</p> <p>To: 09-Feb-22</p>	<p>The database accuracy is assessed to be 22% less than is recorded in the database. Resulting in an estimated over submission of 5,950 kWh per annum (based on 4,271 annual burn hours).</p> <p>No change management in place as the Mainpower database is no longer being maintained.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times previously</p> <p>Controls: None</p> <p>Breach risk rating: 5</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as none as this database is no longer being maintained.</p> <p>The impact is assessed to be low, based on the potential kWh variances detailed above but this will increase until an alternative database is found to manage this load.</p>	
Actions taken to resolve the issue		Completion date
As an interim step before transitioning to CMS, the Council will start providing an up-to-date extract from their RAMM database that underpins the CMS, which will be used for the basis for submission until the transition to CMS is complete.		31/5/2022
Preventative actions taken to ensure no further issues will occur		Completion date
Remedial action status		
Identified		

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 04-May-21</p> <p>To: 09-Feb-22</p>	<p>Variance in light volumes reported to Simply Energy vs what is recorded in the database is likely to be resulting in an estimated 2,359 kWh per annum of under submission.</p> <p>The database accuracy is assessed to be 22% less than is recorded in the database. Resulting in an estimated over submission of 5,950 kWh per annum (based on 4,271 annual burn hours).</p> <p>The monthly database used for submission is no longer being maintained so the monthly volumes being calculated do not take into account any changes.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Three times previously</p> <p>Controls: None</p> <p>Breach risk rating: 5</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as none as this database is no longer being maintained.</p> <p>The impact is assessed to be low, based on the potential kWh variances detailed above but this will increase until an alternative database is found to manage this load.</p>	
Actions taken to resolve the issue		Completion date
<p>Upon receipt, a reconciliation between the datasets will be completed and the revision submissions will be updated to reflect the more accurate capacities.</p>		30/4/2022
<p>As an interim step before transitioning to CMS, the Council will start providing an up-to-date extract from their RAMM database that underpins the CMS, which will be used for the basis for submission until the transition to CMS is complete.</p>		31/5/2022
Preventative actions taken to ensure no further issues will occur		Completion date
<p>The lights in the Mainpower Network are connected to CCC's CMS system and will therefor start to be reconciled via the new profile and process once that has been set up.</p>		31/7/2022
Remedial action status		
Identified		