

Compliance Plan for Far North District Council DUML 2022

Distributed unmetered load audits		
Non-compliance	Description	
Audit Ref: 1.10 With: Clause 16A.26 From: 01-Sep-22 To: 27-Oct-22	Audit not completed within the timeframe specified by the Electricity Authority. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as strong, as the delay was outside of Genesis' control. The impact is assessed to be low, as this has no direct impact on reconciliation.	
Actions taken to resolve the issue	Completion date	Remedial action status
Audit was delayed due to circumstances which could not be controlled like bad weather, road closure etc.	26/10/2022	Cleared
Preventative actions taken to ensure no further issues will occur	Completion date	
Genesis has discussed with the auditors and has started to complete audits in advance to avoid delays.	26/10/2022	

Deriving submission information		
Non-compliance	Description	
Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3 From: 01-Oct-20 To: 16-Sep-22	The data used for submission does not track changes at a daily basis and is provided as a snapshot. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time, but there is room for improvement. The impact is assessed to be low due to the kWh volumes.	
Actions taken to resolve the issue	Completion date	Remedial action status
Genesis has reviewed the auditors finding and have advised FNDC of requirement of visibility of tracking of change within their data base and are working with FNDC to incorporate this in the dataset.	26/11/2022	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
FNDC has been notified of the discrepancies. Genesis relies on FNDC to accurately maintain its database.	26/11/2022	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) and (d) of Schedule 15.3 From: unknown To: 16-Sep-22	Six additional items of load found in the field sample. Potential impact: Low Actual impact: Low Audit history: None Controls: Moderate Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are rated as moderate due to the volume of additional lights found in the field. The impact is assessed to be low due to the low number of differences found in the field and total estimated kWh difference detailed in section 3.1 .	
Actions taken to resolve the issue	Completion date	Remedial action status
Genesis has reviewed the auditors finding and have advised FNDC of the discrepancy with the intent that FNDC makes every effort to ensure the exceptions are rectified.	15/11/2022	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
FNDC has been notified of the asset discrepancies. Genesis relies on FNDC to accurately maintain its database.	15/11/2022	

Volume information accuracy		
Non-compliance	Description	
Audit Ref: 3.2 With: Clause 15.2 and 15.37B(c) From: 01-Oct-20 To: 16-Sep-22	The data used for submission does not track changes at a daily basis and is provided as a snapshot. Potential impact: Low Actual impact: Low Audit history: Twice Controls: Moderate Breach risk rating: 2	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as moderate, as they are sufficient to mitigate the risk most of the time, but there is room for improvement. The impact is assessed to be low due to the kWh volumes.	
Actions taken to resolve the issue	Completion date	Remedial action status
Genesis has reviewed the auditors finding and have advised FNDC of requirement of visibility of tracking of change within their data base and are working with FNDC to incorporate this in the dataset.	26/11/2022	Investigating
Preventative actions taken to ensure no further issues will occur	Completion date	
FNDC has been notified of the discrepancies. Genesis relies on FNDC to accurately maintain its database.	26/11/2022	