

# Compliance Plan Selwyn DC DUML 2022

All load recorded in database			
Non-compliance	Description		
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 06-Jul-21 To: 05-Jul-22	Five additional lights found in the field. Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1		
Audit risk rating	Rationale for audit risk rating		
<b>Low</b>	Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time. The impact on settlement and participants is minor; therefore, the audit risk rating is low.		
Actions taken to resolve the issue		Completion date	Remedial action status
We have asked Selwyn DC to liaise with Orion and update the database in line with the field audit findings and review the overall database.		August 2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
Selwyn DC are aware of the requirement to ensure that the database is accurate, overall Orion is managing the database on their behalf to a level of high compliance; we will keep in touch with Selwyn DC to ensure compliance is maintained.		Ongoing	