

# Compliance Plan Gore DC DUML 2022

| Deriving submission information  |   |                                |
|--|---|--------------------------------|
| Non-compliance   | Description   |                                |
| <p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 05-Nov-20</p> <p>To: 03-May-22</p>   | <p>In absolute terms, total annual consumption is estimated to be 15,300 kWh higher than the DUML database indicates.</p> <p>171 lamps not recorded correctly in the database, resulting in approximately 37,704 kWh of under submission.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p> |                                |
| Audit risk rating  | Rationale for audit risk rating   |                                |
| <b>High</b>  | <p>The controls are rated as weak because historic errors have not been corrected.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>  |                                |
| Actions taken to resolve the issue   |   | Completion date                |
| <p>Meridian has advised Gore District Council of the inaccuracies and have requested for them to be corrected.</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot</p> |   | <p>8/9/2022</p> <p>Ongoing</p> |
| Preventative actions taken to ensure no further issues will occur  |   | Completion date                |
| <p>Meridian will continue to follow up with Gore District Council to complete the required corrections and to maintain the install updates and changes to the database.</p>  |   | <p>8/9/2022</p>                |
| Remedial action status   |   |                                |
| <p>Identified</p>  |   |                                |

| All load recorded in database  |   |                        |
|--|---|------------------------|
| Non-compliance   | Description   |                        |
| Audit Ref: 2.5<br>With: Clause 11(2A) of Schedule 15.3<br>From: 05-Nov-20<br>To: 03-May-22   | Three additional items of load found in the field of 222 items of load sampled.<br>Potential impact: Low<br>Actual impact: Low<br>Audit history: None<br>Controls: Moderate<br>Breach risk rating: 2  |                        |
| Audit risk rating  | Rationale for audit risk rating   |                        |
| <b>Low</b>   | The controls are rated as moderate because they ensure most information is accurate.<br>The impact is assessed to be low due to the small number of additional lights found in the field in relation to the overall count of the items of load. |                        |
| Actions taken to resolve the issue   | Completion date   | Remedial action status |
| Meridian has advised Gore District Council of the inaccuracies and have requested for them to be corrected.  | 8/9/2022  | Identified             |
| Preventative actions taken to ensure no further issues will occur  | Completion date   |                        |
| Meridian will continue to follow up with Gore District Council to complete the required corrections and to maintain the install updates and changes to the database. | 8/9/2022  |                        |

| Database accuracy  |   |                        |
|--|---|------------------------|
| Non-compliance   | Description   |                        |
| <p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 05-Nov-20</p> <p>To: 03-May-22</p>   | <p>In absolute terms, total annual consumption is estimated to be 15,300 kWh higher than the DUML database indicates.</p> <p>171 lamps not recorded correctly in the database, resulting in approximately 37,704 kWh of under submission.</p> <p>Potential impact: High</p> <p>Actual impact: High</p> <p>Audit history: Multiple times previously</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p> |                        |
| Audit risk rating  | Rationale for audit risk rating   |                        |
| High   | <p>The controls are rated as weak because historic errors have not been corrected.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>  |                        |
| Actions taken to resolve the issue   | Completion date   | Remedial action status |
| Meridian has advised Gore District Council of the inaccuracies and have requested for them to be corrected.  | 8/9/2022  | Identified             |
| Preventative actions taken to ensure no further issues will occur  | Completion date   |                        |
| Meridian will continue to follow up with Gore District Council to complete the required corrections and to maintain the install updates and changes to the database. | 8/9/2022  |                        |

| Volume information accuracy  |  |                        |
|--|--|------------------------|
| Non-compliance   | Description  |                        |
| <p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 05-Nov-20</p> <p>To: 03-May-22</p>   | <p>In absolute terms, total annual consumption is estimated to be 15,300 kWh higher than the DUMML database indicates.</p> <p>171 lamps not recorded correctly in the database, resulting in approximately 37,704 kWh of under submission.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Medium</p> <p>Actual impact: Low</p> <p>Audit history: Multiple times</p> <p>Controls: Weak</p> <p>Breach risk rating: 9</p> |                        |
| Audit risk rating  | Rationale for audit risk rating  |                        |
| <b>High</b>  | <p>The controls are rated as weak because historic errors have not been corrected.</p> <p>The impact is assessed to be high, based on the kWh differences described above.</p>   |                        |
| Actions taken to resolve the issue   | Completion date  | Remedial action status |
| <p>Meridian has advised Gore District Council of the inaccuracies and have requested for them to be corrected.</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot</p> | <p>8/9/2022</p> <p>Ongoing</p>   | Identified             |
| Preventative actions taken to ensure no further issues will occur  | Completion date  |                        |
| <p>Meridian will continue to follow up with Gore District Council to complete the required corrections and to maintain the install updates and changes to the database.</p>  | 8/9/2022   |                        |