

Compliance Plan Christchurch NZTA DUML 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 12-May-20</p> <p>To: 04-Apr-22</p>	<p>462 152W LED lamps recorded incorrectly in the database at 154W LED, resulting in over submission of 3,946 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as strong because they mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low, based on the kWh difference described above.</p>	
Actions taken to resolve the issue		Completion date
<p>Meridian advised ORION of the inaccuracies and have confirmed they have been corrected.</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot.</p>		<p>19/5/2022</p> <p>Ongoing</p>
Preventative actions taken to ensure no further issues will occur		Completion date
<p>The majority of inaccuracies are due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs.</p> <p>Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner</p>		1/9/2022
Remedial action status		
Identified		

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 23-Apr-21 To: 31-Mar-22	462 152W LED lamps recorded incorrectly in the database at 154W LED, resulting in over submission of 3,946 kWh per annum. Potential impact: Low Actual impact: Low Audit history: Once Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as strong because they mitigate risk to an acceptable level. The impact is assessed to be low, based on the kWh differences described above.	
Actions taken to resolve the issue		Completion date
Meridian advised ORION of the inaccuracies and have confirmed they have been corrected.		19/5/2022
Preventative actions taken to ensure no further issues will occur		Completion date
The majority of inaccuracies are due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs. Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner		1/9/2022
Identified		

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 12-May-20</p> <p>To: 04-Apr-22</p>	<p>462 152W LED lamps recorded incorrectly in the database at 154W LED, resulting in over submission of 3,946 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as strong because they mitigate risk to an acceptable level.</p> <p>The impact is assessed to be low, based on the kWh difference described above.</p>	
Actions taken to resolve the issue		Completion date
<p>Meridian advised ORION of the inaccuracies and have confirmed they have been corrected.</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot.</p>		<p>19/5/2022</p> <p>Ongoing</p>
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Remedial action status		
Identified		