

Compliance plan for Waitaki DC DUML Meridian 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1 With: Clause 11(1) of Schedule 15.3</p> <p>From: 18- Mar -20 To: 12-Sep-22</p>	<p>The field audit found that database accuracy was not within the allowable +/- 5% threshold. This will be resulting in an estimated under submission of approximately 6,700 kWh per annum.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low Actual impact: Low Audit history: Three times previously Controls: Strong Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time.</p> <p>The impact is assessed to be low due to the small number of additional lights found in the field in relation to the overall count of the items of load.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Discrepancies identified during the field audit will be provided to Network Waitaki for resolution and regularly follow up to ensure these are actioned.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month snapshot data to identify any material changes and confirm details/dates for these. These are accounted for in monthly submission.</p>	<p>30 Nov 22</p> <p>Ongoing</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>Existing process controls for maintenance of the database will continue.</p> <p>Meridian will continue to work with database holders to request that monthly data extracts include the detail of changes.</p>	<p>Ongoing</p> <p>Ongoing</p>	

All load recorded in the database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 18- Mar -20 To: 12-Sep-22	Three additional lights found in the field of 260 items of load sampled (1% error rate). Potential impact: Low Actual impact: Low Audit history: None Controls: Strong Breach risk rating: 1	
Audit risk rating	Rationale for audit risk rating	
Low	Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time. The impact is assessed to be low due to three additional lights found in the field in relation to the overall count of the items of load.	
Actions taken to resolve the issue	Completion date	Remedial action status
Discrepancies identified during the field audit will be provided to Network Waitaki for resolution and regularly follow up to ensure these are actioned.	30 Nov 22	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Existing process controls for maintenance of the database will continue.	Ongoing	

Database accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 18- Mar -20</p> <p>To: 12-Sep-22</p>	<p>The field audit found that database accuracy was not within the allowable +/- 5% threshold. This will be resulting in an estimated under submission of approximately 6,700 kWh per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Three times previously</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time.</p> <p>The impact is expected to be low based on the kWh variances identified.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
Discrepancies identified during the field audit will be provided to Network Waitaki for resolution and regularly follow up to ensure these are actioned.	30 Nov 22	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
Existing process controls for maintenance of the database will continue.	Ongoing	

Volume information accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 18- Mar -20</p> <p>To: 12-Sep-22</p>	<p>The field audit found that database accuracy was not within the allowable +/- 5% threshold. This will be resulting in an estimated under submission of approximately 6,700 kWh per annum.</p> <p>The monthly database extract provided does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Three times previously</p> <p>Controls: Strong</p> <p>Breach risk rating: 1</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>Controls are rated as strong, the small number of exceptions indicated that controls are sufficient to ensure that all lamps are recorded in the database most of the time.</p> <p>The impact is assessed to be low due to the small number of additional lights found in the field in relation to the overall count of the items of load.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Discrepancies identified during the field audit will be provided to Network Waitaki for resolution and regularly follow up to ensure these are actioned.</p> <p>We have assessed our processes and tools to account for historic lamp installations and changes to the database at a daily level. There are checks in place comparing month to month snapshot data to identify any material changes and confirm details/dates for these. These are accounted for in monthly submission.</p>		<p>30 Nov 22</p> <p>Ongoing</p>	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>Existing process controls for maintenance of the database will continue.</p> <p>Meridian will continue to work with database holders to request that monthly data extracts include the detail of changes.</p>		<p>Ongoing</p> <p>Ongoing</p>	