

Compliance Plan Waterloo Park DUML 2022

Deriving submission information			
Non-compliance	Description		
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 12-May-20</p> <p>To: 04-Apr-22</p>	<p>Database accuracy is outside the allowable +/-5% threshold. Field audit findings indicate that there is 22% more load in the field than recorded in the database resulting in an estimated under submission of 3,118 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>The controls are recorded as weak as the database used for submission has a large number of discrepancies.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
<p>Meridian has advised ORION of the inaccuracies and they now confirm that they have been corrected.</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot.</p>		<p>3/5/22</p> <p>Ongoing</p>	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>The majority of inaccuracies were due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs.</p> <p>Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner</p>		5/8/22	

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 12-May-20 To: 04-Apr-22	Twelve additional lights found in the field (17% error rate). Potential impact: Low Actual impact: Medium Audit history: Once Controls: Weak Breach risk rating: 6	
Audit risk rating	Rationale for audit risk rating	
Medium	The controls are recorded as weak as the database used for submission has a large number of discrepancies. The impact is assessed to be medium due to the number of additional lights found in the field in relation to the overall count of the items of load.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised ORION of the inaccuracies and they confirm that they have been corrected.	3/5/22	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
The majority of inaccuracies were due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs. Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner	5/8/22	

Database accuracy		
Non-compliance	Description	
Audit Ref: 3.1 With: Clause 15.2 and 15.37B(b) From: 12-May-20 To: 04-Apr-22	Database accuracy is outside the allowable +/-5% threshold. Field audit findings indicate that there is 22% more load in the field than recorded in the database resulting in an estimated under submission of 3,118 kWh per annum. Potential impact: Low Actual impact: Low Audit history: None Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as weak as the database used for submission has a large number of discrepancies The impact is expected to be low based on the kWh variances identified.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised ORION of the inaccuracies and they confirm that they have been corrected.	3/5/22	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
The majority of inaccuracies were due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs. Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner	5/8/22	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: Clause 15.2 and 15.37B(c)</p> <p>From: 12-May-20</p> <p>To: 04-Apr-22</p>	<p>Database accuracy is outside the allowable +/-5% threshold. Field audit findings indicate that there is 22% more load in the field than recorded in the database resulting in an estimated under submission of 3,118 kWh per annum.</p> <p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: None</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as weak as the database used for submission has a large number of discrepancies.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue	Completion date	Remedial action status
<p>Meridian has advised ORION of the inaccuracies and they confirm that they have been corrected.</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot.</p>	<p>3/5/22</p> <p>Ongoing</p>	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
<p>The majority of inaccuracies were due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs.</p> <p>Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner</p>	5/8/22	