

Compliance Plan Christchurch International Airport Limited DUML 2022

Deriving submission information		
Non-compliance	Description	
<p>Audit Ref: 2.1</p> <p>With: Clause 11(1) of Schedule 15.3</p> <p>From: 06-May-20</p> <p>To: 04-Apr-22</p>	<p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Estimated over submission of 3,199 per annum.</p> <p>18 x 90W LED lamps not recorded correctly in the database, resulting in 811 kWh of over submission that will not be corrected as it is outside the 14 month revision cycle.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
<p>Meridian has advised ORION of the inaccuracies and have requested to be corrected</p> <p>We are considering how we can redesign our processes to incorporate the calculation of volumes at a daily level rather than a monthly snapshot.</p>		<p>11/8/2022</p> <p>Ongoing</p>
Preventative actions taken to ensure no further issues will occur		Completion date
<p>The majority of inaccuracies are due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs.</p> <p>Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner</p>		11/8/2022
Remedial action status		
Identified		

All load recorded in database		
Non-compliance	Description	
Audit Ref: 2.5 With: Clause 11(2A) of Schedule 15.3 From: 06-May-20 To: 04-Apr-22	21 additional lights found in the field representing a 10% error rate. Potential impact: Low Actual impact: Low Audit history: Once Controls: Weak Breach risk rating: 3	
Audit risk rating	Rationale for audit risk rating	
Low	The controls are recorded as weak as the database used for submission has a large number of discrepancies. The impact is assessed to be low due to effect on reconciliation accuracy.	
Actions taken to resolve the issue	Completion date	Remedial action status
Meridian has advised ORION of the inaccuracies and have requested to be corrected	11/8/2022	Identified
Preventative actions taken to ensure no further issues will occur	Completion date	
The majority of inaccuracies are due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs. Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner	11/8/2022	

Database accuracy			
Non-compliance	Description		
<p>Audit Ref: 3.1</p> <p>With: Clause 15.2 and 15.37B(b)</p> <p>From: 06-May-20</p> <p>To: 04-Apr-22</p>	<p>18 x 90W LED lamps not recorded correctly in the database, resulting in over submission of 1,110 kWh since November 2017.</p> <p>Estimated over submission of 3,199 per annum.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Once</p> <p>Controls: Weak</p> <p>Breach risk rating: 3</p>		
Audit risk rating	Rationale for audit risk rating		
Low	<p>The controls are recorded as weak as the database used for submission has a large number of discrepancies.</p> <p>The impact is assessed to be low, based on the kWh differences described above.</p>		
Actions taken to resolve the issue		Completion date	Remedial action status
Meridian has advised ORION of the inaccuracies and have requested to be corrected		11/8/2022	Identified
Preventative actions taken to ensure no further issues will occur		Completion date	
<p>The majority of inaccuracies are due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs.</p> <p>Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner</p>		11/8/2022	

Volume information accuracy		
Non-compliance	Description	
<p>Audit Ref: 3.2</p> <p>With: 15.2 and 15.37B(c)</p> <p>From: 06-May-20</p> <p>To: 04-Apr-22</p>	<p>The data used for submission does not track changes at a daily basis and is provided as a snapshot.</p> <p>Estimated over submission of 3,199 per annum.</p> <p>18 x 90W LED lamps not recorded correctly in the database, resulting in 811 kWh of over submission that will not be corrected as it is outside the 14-month revision cycle.</p> <p>Potential impact: Low</p> <p>Actual impact: Low</p> <p>Audit history: Twice</p> <p>Controls: Moderate</p> <p>Breach risk rating: 2</p>	
Audit risk rating	Rationale for audit risk rating	
Low	<p>The controls are recorded as moderate because they mitigate risk most of the time but there is room for improvement.</p> <p>The impact on settlement and participants is minor; therefore, the audit risk rating is low.</p>	
Actions taken to resolve the issue		Completion date
Meridian has advised ORION of the inaccuracies and have requested to be corrected		11/8/2022
Preventative actions taken to ensure no further issues will occur		Completion date
<p>The majority of inaccuracies are due to lack of notification from the contractor (Connetics) regarding new installations and variations from initial designs.</p> <p>Meridian will work with Connetics to provide Orion with the install updates and changes to maintain the database in a timely manner</p>		11/8/2022
		Identified